PTO/SB/21 (09-04)

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DE	Application Number	10/660,857
O'' E TRANSMITTAL	Filing Date	September 12, 2003
FORM	First Named Inventor	PHAN, LOC X.
AND 2 2 2005 E	Art Unit	3732
(to be used for all correspondence after initial filing)	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	018563-001140US
	ENCLOSURES (Check all that	• • • •
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Supplemental Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addred Terminal Disclaimer Request for Refund CD, Number of CD(s) , Landscape Table on CD Remarks The Commissioner is au Account 20-1430.	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Return Postcard PTO/SB/08A & PTO/SB/08B 154 Reference Copies
SIGNATUR	RE OF APPLICANT, ATTORNE	EY, OR AGENT
Firm Name Townsend and Townsend		
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Printed name James M Heslin		
Date August 19, 2005	Reg. No.	29,541
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TOWNSEND and TOWNSEND and CREW LLP

Attorney Docket No.: 018563-001140US Client Reference No.: AT-00014.3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

LOC X. PHAN et al.

Application No.: 10/660,857

Filed: September 12, 2003

For: ATTACHMENT DEVICES AND

METHODS FOR A DENTAL

APPLIANCE

Customer No.: 46718

Examiner: Unassigned

Art Unit: 3732

SUPPLEMENTAL INFORMATION

DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 1287 OG 163] are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

LOC X. PHAN et al.

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

James M. Heslin Reg. No. 29,541

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Tel: 650-326-2400 Fax: 650-326-2422

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 11

	Complete if Known
Application Number	10/660,857
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First Named Inventor	PHAN, LOC X.
Art Unit	3732
Examiner Name	Unassigned
Attorney Docket Number	018563-001140US

		Document Number			
Examiner Initials*	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Signature	T		00 00 .000	Date Considered	<u> </u>

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	Application Number	10/660,857
INFORMATION DISCLOSURE	Filing Date	September 12, 2003
STATEMENT BY APPLICANT	First Named Inventor	PHAN, LOC X.
	Art Unit	3732
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 2 of 11	Attorney Docket Number	018563-001140US

			U.S. PATENT DO	CUMENTS+	
Examiner Initials*	Cite No.1	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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STA	STATEMENT BY APPLICANT		First Named Inventor PHAN, LOC X.		
				Art Unit	3732
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Sheet	3	of	11	Attorney Docket Number	018563-001140US

	. <u>-</u>	-	FOREIGN PA	TENT DOCUME	ENTS		
Examiner Initials*	Cite No.1	For	reign Patent Document Number ⁴ Kind Code ⁶ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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Examiner Signature	 Date Considered	

Substitute for form 1449B/PTO Complete if Known Application Number 10/660,857 INFORMATION DISCLOSURE Filing Date September 12, 2003 STATEMENT BY APPLICANT First Named Inventor PHAN, LOC X. Art Unit 3732 (use as many sheets as necessary) Examiner Name Unassigned Sheet 11 Attorney Docket Number 018563-001140US

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
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Examiner	Date	
Signature	Considered	
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				Art Unit	3732
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Sheet 6		of	11	Attorney Docket Number	018563-001140US

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Signature	 Considered	

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		NON PATENT LITERATURE DOCUMENTS	
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Substitute for form 1449B/PTO Complete if Known Application Number 10/660,857 **INFORMATION DISCLOSURE** Filing Date September 12, 2003 STATEMENT BY APPLICANT First Named Inventor PHAN, LOC X. Art Unit 3732 (use as many sheets as necessary) Examiner Name Unassigned Sheet Attorney Docket Number 018563-001140US

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